

09/12/03  
16076 U.S. PTO

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| <b>UTILITY APPLICATION</b>                              | Attorney Docket No.: AVANIR.111A   |
|   | First Named Inventor: Jagadish C. Sircar   |
|   | Title: PHENYL-INDOLE COMPOUNDS FOR MODULATING<br>IgE AND INHIBITING CELLULAR PROLIFERATION |
|   | Express Mail Label No.: EV 308025324 US  |
| <b>Direct all correspondence to Customer No.: 20995</b> |  |
| Date: September 12, 2003<br>Page 1 of 2                 |  |

17302 U.S. PTO  
10/661139  
09/12/03

**Mail Stop Patent Application**  
United States Patent and Trademark Office  
PO Box 1450  
Alexandria, VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

**Inventors:**

- |                       |                     |
|-----------------------|---------------------|
| 1. Jagadish C. Sircar | 2. Jailall Ramnauth |
| 3. Mark L. Richards   | 4.                  |

**APPLICATION ELEMENTS:**

(X) Specification in 135 pages.

**CONTINUITY INFORMATION:**

| Application      | Relationship   | Parent App. No. | Filing Date | Status |
|------------------|----------------|-----------------|-------------|--------|
| This Application | Provisional of | 60/410,777      | 9/12/02     |        |
|                  |                |                 |             |        |

(X) Incorporation by Reference. The entire disclosure of the prior application(s) is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**OTHER APPLICATION PARTS:**

(X) Return prepaid postcard.


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| Date: September 12, 2003<br>Page 2 of 2                 |  |

**FILING FEES:**

| FEE CALCULATION    |              |              |                      |               |
|--------------------|--------------|--------------|----------------------|---------------|
| FEE TYPE           |              | FEE CODE     | CALCULATION          | TOTAL         |
| Basic Utility      |              | 1001 (\$750) |                      | \$750         |
| Excess Claims > 20 | 41 - 20 = 21 | 1202 (\$18)  | 21 x 18 =            | \$378         |
| Independent > 3    | 15 - 3 = 12  | 1201 (\$84)  | 12 x 84 =            | \$1008        |
|                    |              |              | <b>TOTAL FEE DUE</b> | <b>\$2136</b> |

(X) A check in the amount of **\$2136** to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

  
 Mark R. Benedict  
 Registration No. 44,531  
 Attorney of Record  
 Customer No. 20,995  
 (949) 760-0404

MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**Attorney Docket No.** : AVANIR.111A

**Applicants** : Jagadish C. Sircar et al.

**For** : PHENYL-INDOLE COMPOUNDS FOR  
MODULATING IgE AND INHIBITING  
CELLULAR PROLIFERATION

**Attorney** : Mark R. Benedict

**"Express Mail"**  
**Mailing Label No.** : EV 308025324 US

**Date of Deposit** : September 12, 2003

I hereby certify that the accompanying

Transmittal letter; specification in 135 pages; Check for Filing Fee; Return Prepaid Postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Nelson Merida

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